

Dan serves clients in all aspects of securities law compliance, public and private financing, mergers, acquisitions and divestitures, venture capital transactions, and investment advisor regulation. His broad experience includes:

- Assisting public companies with respect to compliance under the Securities Act of 1933 and the Securities Exchange Act of 1934.
- Representing clients in connection with merger, acquisition and divestiture transactions.
- Advising clients, ranging from newly formed entities to public companies, on general corporate matters, including venture capital, commercial financing, licensing, and contractual issues.
- Advising public companies with respect to NYSE, NASDAQ, and NYSE Amex compliance.
- Counseling clients regarding corporate governance matters.
- Representing issuers in connection with public offerings of securities.
- Advising underwriters in connection with public offerings of securities.
- Representing issuers in connection with private placements of securities, including offerings under Rule 144A and Regulation D.
- Representing broker/dealers in connection with private placements of securities for both public and private companies.
- Representing venture capital funds in connection with investments in developing companies.

### Professional and Civic Affiliations

- Director, Chair of Israel Speaker Series, Jewish Community Federation of Greater Rochester
- National Council Member, American Israel Public Affairs Committee
- HSE Diversity Partner
- Former Chair, HSE Diversity Committee
- Former Chair, Community Relations Committee, Jewish Community Federation of Greater Rochester
- Director, Executive Committee Member and Nominating Committee Chair, Hillel Community Day School, Inc.
- Lawyers for Learning



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### Admissions

Connecticut  
District of Columbia  
New York

### Education

The American University,  
Washington College of Law, J.D.,  
*cum laude*  
Brandeis University, B.A., *cum laude*

## Honors and Awards

- Selected by his peers for inclusion in *The Best Lawyers in America*® in the fields of Corporate Governance Law, Corporate Law, and Mergers and Acquisitions Law
- Selected to the Upstate New York Super Lawyers list, 2012-2018
- Recipient, Benjamin Goldstein Leadership Award, 2010
- Recipient, "Forty Under 40" Award, *Rochester Business Journal*, 2009

## Presentations

- Regular speaker at local, regional and national conferences and events on issues related to corporate governance, securities and corporate finance.

